Date/Time Stamp:

Date: August 26, 2020

Employee Post-Travel Disclosure of Travel Expenses

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached: ☐ The <u>original</u> Employee Pre-Travel Authorization (Form RE-1), <u>AND</u> ☐ A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invited list, etc.) Information Technology and Innovation Foundation (ITIF) Private Sponsor(s) (list all):_ February 20, 2020 - February 23, 2020 Travel date(s): Name of accompanying family member (if any): Relationship to Traveler:

Spouse

Child IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.) **Expenses for Employee:** Meal Expenses Transportation Lodging Expenses Other Expenses (Amount & Description) Expenses \$980 airfare + \$825 \$435 ☐ Good Faith \$150 airport Estimate shuttles = Actual Amount \$1,130 Expenses for Accompanying Spouse or Dependent Child (if applicable): **Lodging Expenses** Meal Expenses Other Expenses Transportation (Amount & Description) Expenses ☐ Good Faith Estimate ☐ Actual Amount Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): The conference had multiple daily meetings and speakers discussing technology policy. (Printed name of traveler) (Date) TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER: I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35

Form RE-2

(Signature of Supervising Senator/Officer)

(Revised 1/3/11)

8/26/20

(Date)

000000001643	RECEIVED BY:	SECRETARY	OF T	HE SENATE	Date: August 20	5, 202

EMPLOYEE PRE	-TRAVEL	AUTHORIZATION
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Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website Date/Time Stamp:

at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your			
quired post-travel disclosure.			
Stephen Newton			
Name of Traveler:			
Senator Mitt Romney			
Employing Office/Committee:			
Information Technology and Innovation Foundation (ITIF)			
Private Sponsor(s) (list all):			
February 20, 2020 - February 23, 2020			
Travel date(s):			
Note: If you plan to extend the trip for any reason you <u>must</u> notify the Committee.			
San Juan, Puerto Rico			
Destination(s):			
E-1-1-1 be while the in annuificably compacted to the traveler's official or representational duties:			
Explain how this trip is specifically connected to the traveler's official or representational duties:			
Stephen will be learning about technology and trade issues from the conference sessions to be better educated and develop in his			
role of advising the Senator on these issues.			
Name of accompanying family member (if any):			
Relationship to Employee: Spouse Child			
Relationship to Employee.			
I certify that the information contained in this form is true, complete and correct to the best of my knowledge:			
1 certify that the information contained in this form is true, complete and certified in the second of the second			
A = A			
31 2020 (Date)			
(Date) (Signature of Employee)			
TO BE OOMED THE DAY OF DEDIVIOUS CENTATOD OFFICED (Descident of the Seneta Secretary of the Seneta Secretary			
TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms			
Secretary for the Majority, Secretary for the Minority, and Chaplain):			
I, Mint Romney (Print Senator's/Officer's Name) hereby authorize Stephen Newton (Print Traveler's Name)			
I, Min+ Romney hereby authorize Dly New two (Print Senator's/Officer's Name)			
an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and			
related expenses for travel to the event described above. I have determined that this travel is in connection with his or her			
duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for			
duties as a senate employee of an efficiency and			

private gain. I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box)

(Signature of Supervising Senator/Officer)

000000001644 RECEIVED BY: SECRETARY OF THE SENATE Date: August 26, 2020

Wednesday, August 26, 2020 at 5:03:14 PM Eastern Daylight Time

Subject: Invitation: ITIF's Annual Policy Retreat in Puerto Rico 2/20 - 2/22

Date: Tuesday, October 22, 2019 at 2:14:56 PM Eastern Daylight Time

From: Jackie Whisman

To: Newton, Stephen (Romney)

Attachments: ITIF Retreat Flight Form San Juan 2020.xlsx, ITIF Innovation Policy Retreat 2020 DRAFT

AGENDA Updated 10.21.19.pdf

Stephen Newton
Legislative Assistant
U.S. Senator Mitt Romney
Washington, DC

Dear Stephen:

On behalf of the Information Technology and Innovation Foundation (ITIF), I would like to invite you to join us for ITIF's invitation-only Innovation Policy Retreat in San Juan, Puerto Rico Thursday February 20 through Saturday, February 22, 2020 and participate in our closing panel featuring other Congressional staff on Saturday evening, February 22. I have included a draft agenda for reference.

ITIF is the world's top-ranked think tank for science and technology policy. Our expertise runs the gamut from Internet-related topics such as data privacy and cybersecurity, to telecom issues such as broadband deployment, to broader issues related to public and private research and development, trade, and other factors affecting innovation, productivity, and competitiveness across the U.S. and global economies.

As in past years, this retreat will bring together leaders from business, government, and academia to assess policy challenges on technology and competitiveness-related issues and explore evidence-based solutions to foster economic growth and societal progress. We anticipate around 90 total attendees, and all discussions are off-the-record and closed to the press.

ITIF is happy to cover the costs of your travel, group meals and hotel stay, and the events during the retreat will adhere to House and Senate Ethics Committee guidelines for privately sponsored travel.

To RSVP, please reply to this email with a completed version of the attached flight form so we can add your information to our flight block with United. If you are interested but not able to commit just yet, please let me know, and I'm happy to answer any questions as you consider the invitation. The deadline to RSVP is Friday, January 10, 2020.

We very much appreciate your consideration and hope you can join us in February!

Jackie Whisman

Vice President, Development and Outreach | Information Technology and Innovation Foundation O: 202-626-5748 | M: 240-687-1834 | jwhisman@itif.org | @itifdc

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PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1.	Sponsor(s) of the trip (please list all sponsors):				
2.	Description of the trip:ITIF's Annual Innovation Policy Retreat, an event to assess policy challenges				
	related to technology and competitiveness-related issues and explore solutions to foster economic growth				
3.	Dates of travel: February 20, 2020 - February 23, 2020				
4.	Place of travel: San Juan, Puerto Rico				
5.	Name and title of Senate invitees: See Attachment				
6.	I certify that the trip fits one of the following categories: (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -				
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).				
7.	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.				
	- AND -				
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.				
8.	I certify that:				
	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement. - AND -				
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal				
	except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).				

	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a				
	foreign principal, one of the following scenarios applies:				
	 (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip. − OR − 				
	 □ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10). - OR - 				
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal was accompany the Member, officer, or employee at any point throughout the trip.				
	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:				
	N/A				
	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (ho				
	An itinerary for the trip is attached to this form. I <i>certify</i> that the attached itinerary is a detailed (ho by-hour), complete, and final itinerary for the trip.				
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	An itinerary for the trip is attached to this form. I <i>certify</i> that the attached itinerary is a detailed (ho by-hour), complete, and final itinerary for the trip. Briefly describe the role of each sponsor in organizing and conducting the trip: ITIF is the sole sponsor and is organizing all aspects of this trip. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission ITIF is a 501(c)(3) non-profit organization founded in 2006 whose mission is to formulate and promote public policies to advance technological innovation and productivity. Our fifth policy retreat will facilitate serious discussions about difficult economic and innovation challenges facing our nation.				

RECEIVED BY: SECRETARY OF THE SENATE

Date: August 26, 2020

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Address: _____700 K Street NW, Suite 600, Washington DC 20001

Fax Number:

E-mail Address: jwhisman@itif.org

Telephone Number: (202) 626-5748



ITIF'S FIFTH ANNUAL INNOVATION POLICY RETREAT

February 20 – 22, 2020 | Caribe Hilton | San Juan, Puerto Rico

Thursday, February 20, 2020

8:15 AM United Airlines Flight 2021 Departs Dulles International Airport (IAD)

1:01 PM United Airlines Flight 2021 Arrives San Juan International Airport (SJU)

1:30 – 2:15 PM Travel Time from SJU (boxed lunch provided)

2:30 – 4:30 PM "Rebuilding Puerto Rico" Service Event with University of Puerto Rico Mentors and High School Student Innovators from Bayamón, Puerto Rico

ITIF has organized a monthlong collaboration between University of Puerto Rico technologists and high school students from five public schools in during which the high school innovators will identify a public challenge and use technology to solve it. Many of the projects will be related to rebuilding the island's technology infrastructure. The students and their University mentors will present their work and findings to our group and accept feedback from attendees.

Program Timing: Introductions by Rob Atkinson, ITIF (10 min); Student Presentations (15 min each/75 min total); Q&A (35 min)

5:30 – 7:00 PM Welcome Reception

7:00 – 8:30 PM Dinner Discussion: IT-Based Automation

Confirmed: Jerry Hultin, Global Futures Group; David Moschella, Leading Edge Forum Program Timing: Dinner Buffet (30 min); Moderated Fireside Chat (30 min); "Innovation Trivia" Icebreaker (30 min)

The next wave of information technology--internet of things, 5G, autonomous systems, and artificial intelligence--offer the potential to accelerate productivity growth from its current anemic rates. This presentation will explore the opportunities and challenges for using IT to drive productivity in large enterprises, including government and business, and what the policy issues are for government.



Friday, February 21, 2020

Theme: Innovation and Competitiveness

8:00 - 8:30 AM

Breakfast

8:30 - 9:00 AM

Welcome Remarks: Congressman Darren Soto (FL-09)

The Congressman will deliver remarks on what the federal government can, is, and should do to aid in the recovery of Puerto Rico's infrastructure and economic stability.

9:15 - 10:15 AM

Breakout Sessions

- Genomics, Data and the Future of Drug Innovation (Moderated by Stephen Ezell, ITIF)
- IoT and Smart Cities: Achieving the Promise (Moderated by Daniel Castro, ITIF)
- Techlash: What to Do (Moderated by Rob Atkinson, ITIF)
- The Rural Broadband Dilemma: Challenges and Solutions (Moderated by Doug Brake, ITIF)

10:30 - 11:45 AM

Panel: China: The Long Cold War?

Moderator: Rob Atkinson, Founder and President, ITIF

Confirmed: Jim McGregor, APCO Worldwide; John Neuffer, Semiconductor Industry Association; Clete Willems, Akin Gump

U.S. foreign and trade policy has clearly changed vis-à-vis China in the last few years. Many argue that it will be impossible to go back to the pre-2017 relationship regardless of who is in the White House in 2021. If so, what will this new relationship between China and the West look like and what are the implications for U.S. companies and the U.S. trade policy?

11:45 - 12:30 PM

Lunch + Fireside Chat with Ian Conner, FTC's Bureau of Competition

Program Timing: Lunch Buffet (15 minutes) Discussion (30 minutes)

The rise of the digital economy, including a wide array of internet platforms are raising new questions regarding antitrust policy. At the same time, there have been calls to increase overall stringency of antitrust, in both merger review and conduct enforcement. This conversation will review these issues, particularly in terms of how the FTC is viewing them.

12:45 - 2:00 PM

Panel: Time for a New American Innovation and Competitiveness Policy?

Moderator: Rob Atkinson, Founder and President, ITIF

Confirmed: Julius Krein, *American Affairs Journal*; Caleb Orr, U.S. Senate Small Business Committee (Majority)

For almost three decades, the consensus on both the left and the right was that there was not only no need for an articulated national innovation and competitiveness policy, but that such a policy might in fact be harmful. Those views appear to have shifted significantly. Several Democratic presidential candidates have called for such a policy; a growing raft of "national interest" conservatives have rejected free market doctrines to embrace an active innovation and competitiveness policy. What does this mean for policy development? Are such proposals likely to make it through the policy gauntlet that is Washington? Will the "bad" come with the "good?" How should the innovation policy community attempt to shape these policy proposals?

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2:15 – 3:30 PM Panel: Innovation Policy in Latin America

Moderator: Stephen Ezell, Vice President, Global Innovation Policy, ITIF Confirmed: Carolina Agurto, Fundación Idea; Luciana Hachmann, Prospectiva; Adrian Magendzo, IADB

From innovative companies at the forefront of advanced manufacturing and the digital economy to the life sciences, Latin America represents one of the world's most-promising innovation regions. Yet, over the past 15 years, Latin America has averaged less than 3 percent annual GDP growth, suggesting vast untapped potential. This panel will explore the promise—and challenges—to Latin American innovation.

5:30 - 6:30 PM Reception

6:30 – 8:00 PM Dinner + Fireside Chat with Commissioner Geoffrey Starks, Federal Communications Commission

Program Timing: Dinner Buffet (30 min); Moderated Discussion (60 min) It is clear that 5G wireless communications will be an important technology development, one that will enable innovation across a range of industries and applications. At the same time, new innovations like low-earth orbit satellites offer the promise of ubiquitous broadband, including for rural America. Commissioner Starks will discuss these and related issues about the future of fixed and mobile broadband.

Saturday, February 22, 2020

Theme: Internet and IT Policy

8:30 – 9:00 AM Breakfast and Remarks: Rob Atkinson, ITIF

9:00 – 10:00 AM Breakout Sessions

- What's Next for AI and AI Policy? (Moderated by Daniel Castro, ITIF)
- Genomics, Data and the Future of Drug Innovation (Moderated by Stephen Ezell, ITIF)
- Tech and the Future of Jobs and Workforce Development (Moderated by Rob Atkinson, ITIF)
- IoT's Promise for Energy Efficiency (Moderated by Doug Brake, ITIF)

10:15 – 11:30 AM Panel: 5G and Enterprise Transformation

Moderator: Doug Brake, Director, Broadband and Spectrum Policy, ITIF Confirmed: Giulia McHenry, Acting Chief, Office of Economics and Analytics, FCC; Mike Saperstein, U.S. Telecom; Marie Sylla, T-Mobile

Most attention paid to information and communications tech (ICT) is on consumer applications and purported problems (e.g., privacy, hate speech, etc.). Yet, the greater promise from ICT in the next decade is on the enterprise/organizational side, where a suite of technologies, especially 5G, hold the potential for finally turning around America's long-standing anemic productivity growth rates. How will these technologies potentially reshape enterprises, including government? What are the barriers to transformation and what are the implications of slow progress? And most importantly, what is the role of government to not get in the way while at the same time enabling and supporting transformation?

11:45 – 12:15 PM Lunch



12:30 – 1:45 PM

Panel: International Internet Policy Issues

Moderator: Nigel Cory, Associate Director, Trade Policy, ITIF Confirmed: Shannon Coe, International Trade Administration

Increasingly, nations are grappling with Internet policy questions that affect other nations, whether it involves taxation of digital companies, copyright and digital content, cross border data flows, election security, antitrust, privacy and the "right to be forgotten." For many issues international frameworks and coordination are needed. For others, we need the opposite: national frameworks that govern internet activity only in that nation. How should policy makers tell the difference? What should U.S. policy makers do to ensure a robust global Internet policy framework.

2:00 - 3:15 PM

Panel: Section 230 and Tech Liability

Moderator: Daniel Castro, Vice President, ITIF and Director of ITIF's Center for Data Innovation

Confirmed: Dagmar Bartels, OLX Group; Jaime Susskind, Consumer Technology Association

There is a renewed debate about whether Internet platforms deserve to be shielded from liability for the content posted by their users. A number of policymakers have called for platforms to be treated more like publishers. This idea has particularly gained traction among those who reject the neutrality of these platforms or who do not think these companies are doing enough to protect users. Yet even as many experts defend the status quo, noting that making changes to the long-standing protections that have allowed the digital economy to flourish is highly risky, there is growing momentum to negotiate a new deal. Should policymakers revise Section 230 or draw a hard line? And how will potential changes to liability protections abroad impact the available options?

5:00 - 6:30 PM

Reception

6:30 - 8:00 PM

Dinner + Congressional Staff Panel: Innovation Policy in the 116th Congress

Moderators: Daniel Castro, Vice President, ITIF and Director of ITIF's Center for Data Innovation; Stephen Ezell, Vice President, Global Innovation Policy, ITIF Program Timing: Dinner Buffet (30 min); Moderated Discussion (60 min)

Congressional staff will participate in a moderated discussion that briefly summarizes the main retreat themes and takeaways, then moves to an active discussion of related legislation on the horizon for the 116th Congress.

Sunday, February 23, 2020

7:00 AM Southwest Flight 5227 Departs SJU

10:15 AM Southwest Flight 5227 Lands BWI

000000001653 RECEIVED BY: SECRETARY OF THE SENATE Date: August 26, 2020



ITIF'S FIFTH ANNUAL INNOVATION POLICY RETREAT

Attachment: Senate Staff Invitees

Erica	Andeweg	Legislative Assistant	U.S. Senator Deb Fischer	
Rachel	Appelton	Counsel	U.S. Senate Judiciary Committee	
Tristan	Brown	Legislative Counsel	U.S. Senator Gary Peters	
Alexandrine	De Bianchi	Senior Legislative Assistant	U.S. Senator Jacky Rosen	
Mike	Flynn	Senior Counsel	Senate Homeland Security and Governmental Affairs Committee	
Adam	Hechavarria	Legislative Director	U.S. Senator Todd Young	
April	Jones	Counsel	U.S. Senator Amy Klobuchar	
Joel	Kelsey	Chief of Staff	U.S. Senator Blumenthal	
Chris	Leuchten	Counsel/Policy Advisor	U.S. Senator Kyrsten Sinema	
John	Lin	Professional Staff Member	Senate Commerce, Science and Transportation Committee	
Sam	Love	Legislative Assistant	Senator Cory Gardner	
Kurt	Lynch	Legislative Aide	Senator Catherine Cortez Masto	
Sam	Mulopulos	Legislative Assistant	U.S. Senator Rob Portman	
Stephen	Newton	Legislative Assistant	U.S. Senator Mitt Romney	
Caleb	Orr	Projects Director	U.S. Senate Small Business Committee/Senator Rubio	
David	Pendle	Chief Counsel, Criminal Justice	U.S. Senate Judiciary Committee	
Sydney	Pettit	Legislative Assistant	Senator Shelley Moore Capito	
Anant	Raut	Counsel	U.S. Senate Judiciary Committee	
Scott	Reber	Legislative Assistant	Senator James Risch	
George	Redden	Legislative Aide	Senator Jerry Moran	
Jacob	Reses	Senior Policy Adviser	U.S. Senator Josh Hawley	
Dominic	Saavedra	Legislative Assistant	Senator Heinrich	
Troy D.	Stock	Policy Counsel	U.S. Senate Republican Policy Committee	
Mimi	Strobel	Legislative Assistant	U.S. Senator Ron Johnson	
Dan	Swanson	Senior Counsel	U.S. Senate Judiciary Committee	
Eric	Teetsel	Legislative Director	Senator Josh Hawley	
Olivia	Trusty	Subcommittee Policy Director	Senate Commerce, Science and Transportation Committee	
Jay	Weismuller	Policy Adviser	U.S. Senator Maggie Hassan	
Carolina	Young	Policy Adviser	U.S. Senator Mark Warner	